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Public reporting burden for this collection of information is estimated to average 85 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Chief, Information Policy Branch, U. S. Environmental Protection Agency (2136), 401 M Street, S.W., Washington, D.C. 20460, and to Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, D.C. 20503. Include the OMB number in any correspondence.

MAXIMUM ACHIEVABLE CONTROL TECHNOLOGY (MACT) STANDARDS DEVELOPMENT INFORMATION REQUEST

I. Instructions

This information request is to be completed for operations that comprise the rocket and jet engine testing source category at your plant. The rocket and jet engine testing source category includes any facility that tests any type of aircraft engine, rocket engine/motor, propellant system, or nonaerospace engines.

We are requesting information regarding each compound identified as a hazardous air pollutant (HAP) that is used in or emitted by any operations, including fugitive emission sources, occurring from the rocket and jet engine testing source category at your facility. Fill out this information request as completely as possible from existing information. At a minimum, provide (1) information on the <u>presence</u> of HAP emissions and (2) HAP emission estimates based on previously obtained test data or on engineering calculations provided there is a basis for such calculations. No additional monitoring or emission testing is required by your company to respond to this request.

If you have any questions regarding this request, please contact Mr. George Smith. For your convenience, we have provided in Attachment A additional information on the scope and purpose of this survey. Respondents should read this material before attempting to complete the survey.

Return this information request and any additional information to:

Bruce C. Jordan, Director Emission Standards Division (MD-13) U. S. Environmental Protection Agency Office of Air Quality Planning and Standards Research Triangle Park, NC 27711

Attention: George Smith

A.	Name of legal owner of plant:
B.	Name of legal operator of plant, if different from legal owner:
C.	Address of legal owner/operator (please specify which):
D.	Size of company:
	 Approximate number of employees of the business enterprise that owns this plant, including where applicable, the parent company and all subsidiaries, branches, and unrelated establishments owned by the parent company (answer may be given using the following ranges: 0-100; 101-250; 251-500; 501-750; 751-1,000; 1,001-1,500; and >1,500) Number of plant employees attached to the rocket and jet engine testing operation:
E.	Name of plant:
F.	Street address of plant:
G.	Latitude and longitude coordinates of plant (see Appendix A of Attachment A):
H.	Name of contact(s) able to answer technical questions about the completed survey:
I.	Title(s):
J.	Telephone Number: ()

III. Plant Operations

- A. Complete Table 1 for the most recent calendar year (unless the respondent can justify selection of an alternate base year) for all processes at your plant that are covered by the rocket and jet engine testing source category. For each type of process (i.e., process line), provide a process flow diagram that includes all sources of air emissions (e.g., stack emissions, process fugitive emissions, and area fugitive emissions [including fugitive dust emissions]). Also include all activities that generate HAP emissions, including the storage, transfer, handling, and processing of the materials, and wastewater and solid waste handling. Indicate all feedstocks, products, and emissions that contain compounds that are listed in Table 2, below. Use the same terminology/codes in identifying unit operations and emissions points in this figure as you will use in completing Table 3, below.
- B. List the products, coproducts, and by-products identified in the process flow diagram and indicate for each how much is produced annually.

IV. HAP's--Usage and Emissions

- A. Complete Table 2 for each emission point identified in the process flow diagram(s) developed for Part III.A., above. For each HAP listed on the table, indicate the likelihood, using the codes defined in Table 2, that the HAP is emitted from a given emission point within the source category. Identify the appropriate emission points using the same terminology/codes you used in completing the process flow diagram(s) in Part III.A, above.
- B. Using copies of Tables 3A and 3B, complete the table for each process and emission point identified in Part III, with the following exceptions.
 - 1. For those emission points from units with Resource Conservation and Recovery Act (RCRA) Part B permits, it is not necessary to complete Table 3 for wastewater and solid waste handling operations;
 - 2. Sources with no air pollution capture or control systems will only complete columns 1-3, 8, and 10 of Table 3-A; and
 - 3. Provide HAP data only for those HAP's identified with code "A" in Table 2, above.
- C. Complete Table 4 for any air pollution capture or control equipment identified in Table 3, above.

D. For calculations based on emission factors, material balances, or engineering principles, submit a step-by-step description of the calculations, including assumptions used, and a brief rationale for the validity of the calculation method used. (See guidance documents listed in Attachment A, Section IV). If test reports are listed as the basis for emissions estimates or capture system and control device efficiencies, provide a brief summary of the relevant tests. Include information such as the purpose of the test, when it was conducted, what test methods were used, and information on the process operation during the test. It is not necessary to submit copies of actual test reports at this time although EPA may request additional documentation on a plant-specific basis in the future.

V. Factors That Affect HAP Emission Reductions

Completion of this Section V is optional. If you choose to respond, clearly distinguish between pollution reduction and source reduction measures. Pollution reduction measures alter the physical, chemical, or biological characteristics or the volume of a HAP through a process or activity which itself is not integral to and necessary to produce a product or provide a service. The use of "add-on" devices to capture and control (recover or destroy) HAP emissions are considered pollution reduction measures. In contrast, source reduction measures reduce the amount of any HAP prior to recycling, treatment, or disposal. Source reduction measures include equipment or technology modifications, process or procedure modifications, reformulation or redesign of products, substitution of raw materials, and improvements in housekeeping, maintenance, training, or inventory control.

A. For each unit operation for which pollution reduction or source reduction

measures have resulted in a decrease in HAP emissions since 1987, provide the

following information.

1. Name of unit operation:

2. Type of control or description of process change:

B. If recovery or recycling of feedstocks is used, quantify the effect of the program (e.g., estimated annual purchase of feedstock in the absence of recovery/recycling compared to actual annual purchase):

	C.	Are you aware of any alternative processes (feedstock substitutions or eliminations) or control devices that could result in fewer impacts between environmental media (water, air, and land) or reduced total release to all environmental media (e.g., reduced wastewater or solid waste)? Discuss whether these processes could be adapted to the rocket and jet engine testing source category and any experience you have with them.
VI.	Mis	scellaneous
	A.	If any control or process change described in Part V was instituted as a result of new source review requirements pursuant to 40 CFR 51.160, Subpart I, Review of New Sources and Modifications, provide the date at which the lowest achievable emission rate (LAER) came into effect:
	В.	Describe any factors not addressed in the above questions that might serve to distinguish your facility from others in this source category for purposes of developing a separate source category or subcategory and MACT standards.
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		IEGORI				
Process lines using and/or emitting HAP's	Average annual production, pounds per year ^a	Operating	g cycle d/yr	Maximum annual production capacity, lb/yr ^a	Age of process line(s), years	Remaining economic life of process line(s), years
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^aProvide production in appropriate units, e.g., lb/yr.

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TABLE 2. PRESENCE OF HAZARDOUS AIR POLLUTANTS IN EMISSION POINTS^a

Process name (as defined on process flow diagram):	 	 				 		 1
			EMI	SSION PO	INTS			j
CHEMICAL NAME								1
ACETALDEHYDE								1
ACETAMIDE								1
ACETONITRILE								1
ACETOPHENONE								1
2-ACETYLAMINOFLUORENE								1
ACROLEIN								1
ACRYLAMIDE								1
ACRYLIC ACID								1
ACRYLONITRILE								1
ALLYL CHLORIDE								1
4-AMINOBIPHENYL								
ANILINE								1
o-ANISIDINE								
ASBESTOS								
BENZENE(INCLUDING BENZENE FROM GASOLINE)								1
BENZIDINE								1
BENZOTRICHLORIDE								
BENZYL CHLORIDE								1
BIPHENYL								1
BIS(2-ETHYLHEXYL)PHTHALATE (DEHP)								1
BIS(CHLOROMETHYL)ETHER								1
BROMOFORM								1

^aFor each HAP emission point defined in the process flow diagram, write in the applicable letter code defined below:

- A Specific HAP is known to be emitted.
 B Specific HAP is known <u>not</u> to be emitted.
 C No reason or data to assume that this HAP is emitted.

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TABLE 2. PRESENCE OF HAZARDOUS AIR POLLUTANTS IN EMISSION POINTS^a

Process name (as defined on process flow diagram):										
					EMI	SSION PO	INTS			
1,3-BUTADIENE										
CALCIUM CYANAMIDE										
CAPROLACTAM (Delisted 6/96)			—	K						
CAPTAN				V						
CARBARYL										
CARBON DISULFIDE			þ							
CARBON TETRACHLORIDE										
CARBONYL SULFIDE										
CATECHOL										
CHLORAMBEN										
CHLORDANE										
CHLORINE										
CHLOROACETIC ACID										
2-CHLOROACETOPHENONE	7									
CHLOROBENZENE										
CHLOROBENZILATE										
CHLOROFORM										
CHLOROMETHYL METHYL ETHER										
CHLOROPRENE										
CRESOLS/CRESYLIC ACID (ISOMERS AND MIXTURE)										
o-CRESOL										
m-CRESOL										
p-CRESOL										

^aFor each HAP emission point defined in the process flow diagram, write in the applicable letter code defined below:

- A Specific HAP is known to be emitted.
 B Specific HAP is known <u>not</u> to be emitted.
 C No reason or data to assume that this HAP is emitted.

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TABLE 2. PRESENCE OF HAZARDOUS AIR POLLUTANTS IN EMISSION POINTS^a

Process name (as defined on process flow diagram):	 							
			EMIS	SION PO	INTS			
CUMENE								
2,4-D, SALTS AND ESTERS								
DDE								
DIAZOMETHANE								
DIBENZOFURANS								
1,2-DIBROMO-3-CHLOROPROPANE								
DIBUTYLPHTHALATE								
1,4-DICHLOROBENZENE(P)								
3,3-DICHLOROBENZIDENE								
DICHLOROETHYL ETHER (BIS(2-CHLOROETHYL)ETHER)								
1,3-DICHLOROPROPENE								
DICHLORVOS								
DIETHANOLAMINE								
N,N-DIETHYL ANILINE (N,N-DIMETHYLANILINE)								
DIETHYL SULFATE								
3,3-DIMETHOXYBENZIDINE								
DIMETHYL AMINOAZOBENZENE								
3,3'-DIMETHYL BENZIDINE								
DIMETHYL CARBAMOYL CHLORIDE								
DIMETHYL FORMAMIDE								
1,1-DIMETHYL HYDRAZINE								
DIMETHYL PHTHALATE								
DIMETHYL SULFATE								

- A Specific HAP is known to be emitted.
 B Specific HAP is known <u>not</u> to be emitted.
 C No reason or data to assume that this HAP is emitted.

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TABLE 2. PRESENCE OF HAZARDOUS AIR POLLUTANTS IN EMISSION POINTS^a

Process name (as defined on process flow diagram):									
	T			EMI	SSION PO	INTS			
4,6-DINITRO-O-CRESOL, AND SALTS									
2,4-DINITROPHENOL									
2,4-DINITROTOLUENE									
1,4-DIOXANE(1,4-DIETHYLENE OXIDE)					•				
1,2-DIPHENYLHYDRAZINE									
EPICHLOROHYDRIN(1-CHLORO-2,3-EPOXYPROPANE)									
1,2-EPOXYBUTANE									
ETHYL ACRYLATE									
ETHYL BENZENE									
ETHYL CARBAMATE (URETHANE)									
ETHYL CHLORIDE (CHLOROETHANE)									
ETHYLENE DIBROMIDE (DIBROMOETHANE)									
ETHYLENE DICHLORIDE (1,2-DICHLOROETHANE)									
ETHYLENE GLYCOL									
ETHYLENE IMINE(AZIRIDINE)									
ETHYLENE OXIDE									
ETHYLENE THIOUREA									
ETHYLIDENE DICHLORIDE (1,1-DICHLOROETHANE)									
FORMALDEHYDE									
HEPTACHLOR									
HEXACHLOROBENZENE									
HEXACHLOROBUTADIENE									
HEXACHLOROCYCLOPENTADIENE									
HEXACHLOROETHANE									

- A Specific HAP is known to be emitted.
 B Specific HAP is known <u>not</u> to be emitted.
 C No reason or data to assume that this HAP is emitted.

TABLE 2. PRESENCE OF HAZARDOUS AIR POLLUTANTS IN EMISSION POINTS^a

Process name (as defined on process flow diagram):]
				EMI	SSION PO	INTS			1
HEXAMETHYLENE-1,6-DIISO- CYANATE	1								1
HEXAMETHYLPHOSPHORAMIDE									1
HEXANE									1
HYDRAZINE					P				1
HYDROCHLORIC ACID	1								
HYDROGEN FLUORIDE (HYDROFLUORIC ACID)	1		d						1
HYDROGEN SULFIDE									
HYDROQUINONE	1								1
ISOPHORONE									1
LINDANE (ALL ISOMERS)	1								1
MALEIC ANHYDRIDE									1
METHANOL									1
METHOXYCHLOR									
METHYL BROMIDE (BROMOMETHANE)									1
METHYL CHLORIDE (CHLOROMETHANE)									1
METHYL CHLOROFORM (1,1,1-TRICHLOROETHANE)									1
METHYL ETHYL KETONE (2-BUTANONE)									1
METHYL HYDRAZINE									
METHYL IODIDE (IODOMETHANE)									
METHYL ISOBUTYL KETONE (HEXONE)]
METHYL ISOCYANATE									
METHYL METHACRYLATE									1

^aFor each HAP emission point defined in the process flow diagram, write in the applicable letter code defined below:

- A Specific HAP is known to be emitted.
 B Specific HAP is known <u>not</u> to be emitted.
 C No reason or data to assume that this HAP is emitted.

TABLE 2. PRESENCE OF HAZARDOUS AIR POLLUTANTS IN EMISSION POINTS^a

Process name (as defined on process flow diagram):								
			EMI	SSION PC	INTS			
METHYL TERT BUTYL ETHER								
4,4-METHYLENE BIS(2-CHLOROANILINE)								
METHYLENE CHLORIDE (DICHLOROMETHANE)		—						
METHYLENE DIPHENYL DIISOCYANATE (MDI)								
4,4'-METHYLENEDIANILINE								
NAPHTHALENE								
NITROBENZENE								
4-NITROBIPHENYL								
4-NITROPHENOL								
2-NITROPROPANE								
N-NITROSO-N-METHYLUREA								
N-NITROSODIMETHYLAMINE								
N-NITROSOMORPHOLINE								
PARATHION								
PENTACHLORONITROBENZENE (QUINTOBENZENE)								
PENTACHLOROPHENOL								
PHENOL								
p-PHENYLENEDIAMINE								
PHOSGENE								
PHOSPHINE								
PHOSPHOROUS								
PHTHALIC ANHYDRIDE								
POLYCHLORINATED BIPHENYLS (AROCHLORS)								

A - Specific HAP is known to be emitted.
B - Specific HAP is known <u>not</u> to be emitted.
C - No reason or data to assume that this HAP is emitted.

TABLE 2. PRESENCE OF HAZARDOUS AIR POLLUTANTS IN EMISSION POINTS^a

Process name (as defined on process flow diagram):					** ****			
			EMI	SSION PC	DINTS			
1,3-PROPANE SULTONE								
BETA-PROPIOLACTONE								
PROPIONALDEHYDE		—						
PROPOXUR (BAYGON)				1				
PROPYLENE DICHLORIDE (1,2-DICHLOROPROPANE)								
PROPYLENE OXIDE								
1,2-PROPYLENIMINE (2-METHYL AZIRIDINE)								
QUINOLINE								
QUINONE								
STYRENE								
STYRENE OXIDE								
2,3,7,8-TETRACHLORODIBENZO-p-DIOXIN								
1,1,2,2-TETRACHLOROETHANE								
TETRACHLOROETHYLENE (PERCHLOROETHYLENE)								
TITANIUM TETRACHLORIDE								
TOLUENE								
2,4-TOLUENE DIAMINE								
2,4-TOLUENE DIISOCYANATE								
o-TOLUIDINE								
TOXAPHENE (CHLORINATED CAMPHENE)								
1,2,4-TRICHLOROBENZENE								
1,1,2-TRICHLOROETHANE								
TRICHLOROETHYLENE								

- A Specific HAP is known to be emitted.
 B Specific HAP is known <u>not</u> to be emitted.
 C No reason or data to assume that this HAP is emitted.

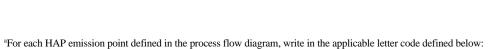
TABLE 2. PRESENCE OF HAZARDOUS AIR POLLUTANTS IN EMISSION POINTS^a

Process name (as defined on process flow diagram):	 							
			EMIS	SSION PO	INTS			
2,4,5-TRICHLOROPHENOL								
2,4,6-TRICHLOROPHENOL								
TRIETHYLAMINE								
TRIFLURALIN				•				
2,2,4-TRIMETHYLPENTANE								
VINYL ACETATE								
VINYL BROMIDE								
VINYL CHLORIDE								
VINYLIDENE CHLORIDE (1,1-DICHLOROETHYLENE)								
XYLENES (ISOMERS AND MIXTURE)								
o-XYLENES								
m-XYLENES								
p-XYLENES								
ANTIMONY COMPOUNDS								
ARSENIC COMPOUNDS (INORGANIC INCLUDING ARSINE)								
BERYLLIUM COMPOUNDS								
CADMIUM COMPOUNDS								
CHROMIUM COMPOUNDS								
COBALT COMPOUNDS								
COKE OVEN EMISSIONS								
CYANIDE COMPOUNDS								
GLYCOL ETHERS								
LEAD COMPOUNDS								

- A Specific HAP is known to be emitted.
 B Specific HAP is known <u>not</u> to be emitted.
 C No reason or data to assume that this HAP is emitted.

TABLE 2. PRESENCE OF HAZARDOUS AIR POLLUTANTS IN EMISSION POINTS^a

Process name (as defined on process flow diagram):	 								
				EMIS	SSION PO	INTS			
MANGANESE COMPOUNDS									
MERCURY COMPOUNDS									
FINE MINERAL FIBERS									
NICKEL COMPOUNDS			I						
POLYCYCLIC ORGANIC MATTER									
RADIONUCLIDES (INCLUDING RADON)									
SELENIUM COMPOUNDS									



A - Specific HAP is known to be emitted. B - Specific HAP is known <u>not</u> to be emitted.

C - No reason or data to assume that this HAP is emitted.

TABLE 3-A. INFORMATION ON HAZARDOUS AIR POLLUTANTS--PREAIR POLLUTION CONTROL DEVICE STREAMS

Process line:									
1	2	3	4	5	6	7	8	9	10
Unit operation	Type of equipment/ emission points		Capture system/ device		Basis for reported	HAP concentra- tions in captured stream, ppmv	Vent stream composition, volume percent	Flow rate of captured stream, scfm	Uncontrolled HAP emissions, tons/yr ^{b,c}

^aProvide copies of estimation worksheets and any other relevant documentation.

^bProvide speciated data.

^cEmissions not captured plus those in the uncontrolled capture stream.

TABLE 3-B. INFORMATION ON HAZARDOUS AIR POLLUTANTS--CONTROLLED STREAMS

Unit operation	Type of equipment/ emission points	Name of HAP	Control device/method	Control efficiency, percent	Basis for reported efficiency ^a	Control device outlet stream composition, volume percent ^b	Control device outlet stream HAP emissions tons/yr ^{b,c}	

^aProvide copies of estimation worksheets and other relevant documentation.
^bInclude composition information for HAP's that are generated by the control device, if applicable.
^cProvide speciated data.

TABLE 4. AIR POLLUTION CAPTURE SYSTEM AND CONTROL EQUIPMENT PARAMETERS^a

CAPTURE SYSTEMS	EMISSION POINT	EMISSION POINT	EMISSION POINT
GENERAL OR BUILDING VENTILATION			
Ventilation system used: Natural with gravity ventilator Roof fans Other (specify)			
Airflow control system: Drop curtain baffling Other (describe)			
Number of air changes per hour			
UNIT OR LOCAL VENTILATION			
Enclosure or hood design: Complete enclosure Closed hood Canopy hood Slot hood Suspended hood Other (describe)			
Volume of enclosure or canopy hood Capture velocity (if applicable), ft/sec Distance between hood and emission source, ft			

^aThe systems presented in this table are examples. This table will be customized to include parameters for those control and capture systems that are applicable to the source category in question.

bIndicate whether information provided represents design values, average operating values, or some other values.

TABLE 4. AIR POLLUTION CAPTURE SYSTEM AND CONTROL EQUIPMENT PARAMETERS

CONTROL DEVICE: SCRUBBER	EMISSION POINT	EMISSION POINT	EMISSION POINT_
Type of scrubber: venturi packed bed impingement other (specify)			
Gas inlet temperature, °F			
Pressure drop, in H ₂ O			
Liquid-to-gas ratio, gal/10 ³ acfm			
Inlet scrubbing liquor pH percent solids type of alkali added, if any rate (lbs/gal)			
Wastewater generation rate, gal/min HAP composition of wastewater, mg/l			

CONTROL DEVICE: HEAT EXCHANGER	EMISSION POINT	EMISSION POINT _	EMISSION POINT
Inlet temperature, °F			
Outlet temperature, °F			

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TABLE 4. AIR POLLUTION CAPTURE SYSTEM AND CONTROL EQUIPMENT PARAMETERS

CONTROL DEVICE: BAGHOUSE	EMISSION POINT	EMISSION POINT	EMISSION POINT
Gas inlet temperature, °F			
Bag material, weight, and coating			
Cleaning method and frequency			
Air to cloth ratio, acfm/ft ²			
Pressure drop across baghouse, in. H ₂ O			
Stack opacity			

CONTROL DEVICE: ELECTROSTATIC PRECIPITATOR	EMISSION POINT	EMISSION POINT	EMISSION POINT
Gas inlet temperature, °F			
Particle resistivity, ohm-centimeter			
Specific collection area, ft ² /1,000 afcm			
Conditioning agents used (sulfur trioxide, sodium compounds, etc.)			
Stack opacity			

TABLE 4. AIR POLLUTION CAPTURE SYSTEM AND CONTROL EQUIPMENT PARAMETERS

CONTROL DEVICE: INCINERATION	EMISSION POINT	EMISSION POINT	EMISSION POINT
Type: thermal catalytic			
Combustion chamber temperature, °F (please note if temperature measurement is not in chamber)			
Excess air, %			
Nominal residence time, s			
Heat recovery: recuperative, percent heat recovery regenerative, percent heat recovery			

	EMISSION	EMISSION	EMISSION
CONTROL DEVICE: FLARE	POINT	POINT	POINT
Type of flare: no assist steam assist air assist pressure assist			
Location: ground elevated			
Heat content of vented stream, BTU/scf			
Flare gas exit velocity, ft/s			
Flare tip diameter, in.			
Flare height, ft			
Supplementary fuel for pilot, scfm combustion purposes, scfm			
Steam requirement, lb/hr			

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CONTROL DEVICE: CARBON ADSORPTION	EMISSION POINT	EMISSION POINT	EMISSION POINT
Type of carbon bed and number: fixed fluidized			
How many pounds of carbon per bed:			
Configuration: parallel serial			
Number of beds on-line			
Number of beds desorbing			
Pressure drop, in. H ₂ O			
Gas inlet temperature, °F			
Type of regeneration			
Regeneration time			
Adsorption time			
CONTROL DEVICE: CONDENSER	EMISSION POINT	EMISSION POINT	EMISSION POINT
Type of condenser: surface contact [if contact, see scrubber]			
Gas inlet temperature, °F			

Gas outlet temperature, °F

BACKGROUND FOR MACT STANDARDS DEVELOPMENT SURVEY

I. Introduction

The purpose of this attachment is to provide the respondent with additional detail on the relevant requirements of the Clean Air Act and to provide an explanation, where appropriate, for the purpose and objectives of individual survey sections or questions. Finally, a list of definitions of key terms used in the survey is provided.

II. Summary of Clean Air Act Requirements

The maximum achievable control technology (MACT) standards development survey was developed by the U. S. Environmental Protection Agency's (EPA's) Office of Air Quality Planning and Standards Emission Standards Division (OAQPS/ESD) to help EPA meet its obligations under the Clean Air Act Amendments of 1990. Specifically, the Clean Air Act Amendments require EPA to develop regulations under Section 112(d) to limit emissions of hazardous air pollutants (HAP's) from major and area sources of emissions. Section 112(a) defines a major source as "any stationary source or group of stationary sources located within a contiguous area and under common control that emits or has the potential to emit considering controls, 10 tons per year or more of any hazardous air pollutant or 25 tons per year or more of any combination of hazardous air pollutants." Based on the Administrator's determination, EPA may lower the major source cutoff for individual HAP's. An area source is "any stationary source of hazardous air pollutants that is not a major source."

The Clean Air Act Amendments of 1990 prescribe an analytical framework that EPA is to apply in developing national emission standards for hazardous air pollutants (NESHAP) for major sources. A key concept in this framework is the establishment of the MACT floor. The amendments specify that NESHAP for existing sources are to be no less stringent (but may be more stringent) than the average emission limitation achieved by the best performing 12 percent of the existing sources in each category or subcategory of sources. In categories or subcategories with less than 30 sources, the floor is to be based on the average emission limitation achieved by the best performing 5 sources. The MACT floor for new sources is the emission control that is achieved in practice by the best controlled similar source.

A second key feature of the NESHAP development process is that of determining subcategories. The Clean Air Act Amendments allow the EPA Administrator to "distinguish among classes, types, and sizes of sources within a category or subcategory in establishing such standards" (Section 112(d)). The effect of this provision is that for each category or subcategory for which EPA is developing NESHAP, the resulting standards could be tailored to account for significant differences in classes, types, and sizes of sources. For each of the resulting classifications, a separate MACT floor determination is required.

III. Explanation of Key Survey Sections and Questions

Part I, Instructions, defines the source category operations that are to be addressed in completing the survey. The respondent is instructed that no additional emission testing or monitoring is required to respond to the survey. However, the respondent is asked to supply engineering calculations where appropriate. The instructions provide an EPA contact for any questions on the part of the respondent as well as the address to which the completed survey should be mailed. Finally, the instructions direct the respondent to this attachment.

Part II, General Information, is where the respondent, plant, and company are identified. Because of the complex relationships between and among corporations, the respondent is asked to distinguish between the legal owner and the legal operator. In some cases, one owner may sell a specific operation to another company, but continue to operate the facility. In this case, the legal owner information may be used in the EPA's economic analysis to distinguish small businesses.

Question D on number of employees is asked so that EPA may identify small businesses. The Regulatory Flexibility Act (Public Law 96-354, September 19, 1980) requires consideration of the impacts of regulations on small businesses. The major purpose of the Act is to keep regulatory requirements from getting out of proportion to the scale of the businesses being regulated, without compromising the objectives of, in this case, the Clean Air Act. If a regulation is likely to have a significant economic impact on a substantial number of small businesses, EPA may give special consideration to those small businesses when analyzing regulatory alternatives and drafting a regulation. For producers and users of HAP's, the Small Business Administration uses employment ranges to separate businesses into "large" and "small" categories. These employment ranges are substantially as given in Question D. (In any given situation, the actual cutoff between large and small will depend on the Standard Industrial Classification of the establishments in question. Furthermore, EPA sometimes finds that different employment ranges or even other criteria are more suitable for the process of defining which businesses are large and which are small.)

Information on the legal operator, plant name, and technical contact is used by EPA to ensure that the plant is properly identified and that the appropriate contacts are available to answer any questions EPA might have on the completed survey.

The respondent is also asked to provide the latitudinal and longitudinal coordinates of the facility. Sources of these data include EPA permits (e.g. NPDES permits), county property records, facility blueprints, and site plans. Instructions on the development of coordinates can be found in Appendix A.

Part III, Plant Operations. The purpose of Question A is to obtain a list of processes within the source category and information on the relative magnitude of each operation in terms of production amounts, production capacity, and operating schedule. The processes listed in Table 1 will define the scope of the rest of the survey and ensure that consistent terminology

is used throughout the survey. Information on production amounts and capacity and operating schedules may be used in making subcategory decisions. For example, these data are used in evaluating size distinctions between facilities. In addition, information on production capacity is used to size control devices. The information on age of the line and its remaining economic life is used in the economic analysis to determine the potential impacts of equipment retrofit.

The respondent is also asked to provide a process flow diagram for each process (or, depending on the source category, group of like processes) identified in Table 1. The process flow diagram includes all activities that generate HAP emissions, including the storage, transfer, handling, and processing of materials and wastewater and solid waste handling. Generating the flow diagrams is a necessary step in completing Table 3 in Part IV. The process flow diagram is an essential tool for EPA to use in understanding how the emissions data relate to plantspecific processes.

Question B is designed to allow to project price increases due to regulation by identifying each process that will be affected directly or indirectly. The name and quantity of each input chemical and the name and quantity of each output chemical, producer-by-producer, provide the basis for tracing potential price increases through chemical trees and sometimes beyond the trees to consumer products. For example, there are several commercial processes for producing benzene. Typically, a portion of benzene production at a plant is used on site for the production of derivative chemicals, and the remainder is shipped off site for similar or other use. If a respondent were to omit captively-consumed benzene from process unit data, perhaps on the grounds that the benzene is not sold in the traditional meaning of the term, EPA's ability to model and project price increases would be hindered.

Part IV, HAP's Usage and Emissions, provides the bulk of the information needed by EPA to set the MACT floor and will also help in identifying potential subcategories. In Question A, the respondent is asked to cross-reference the list of HAP's with each emission point identified in the process flow diagram(s). The information on this table will allow EPA to determine the variability in HAP emissions and their sources within the source category.

In Question B, the respondent is asked to complete Table 3, which requests information on levels of HAP emissions and the presence and effectiveness of capture and control systems. These two items are the key parameters in making a MACT floor determination. Information is also requested on the flow rate and HAP concentration of the captured emission stream, which may be used to distinguish subcategories based on control options and costs. It is particularly important that EPA be able to determine when certain control technologies may prove infeasible for some sources.

Question C requires the respondent to provide additional information on key design and operating parameters of emission capture and control equipment. This information is used to allow EPA to understand the basis of the efficiency estimates provided in Table 3 and to establish the MACT floor in terms of technological options.

Question D provides instructions to the respondent regarding the means and level of detail required to support the data requested in Part IV. The information is critical in understanding the data provided by the respondents.

Part V, Factors that Affect HAP Emission Reductions, requests information that will help ensure that EPA considers source reduction measures, which reduce the amount of any HAP prior to recycling, treatment, or disposal, in establishing the MACT floor. Completing this section is voluntary. It is important to obtain information on source reduction measures because both the Clean Air Act and the Pollution Prevention Act of 1990 urge emission sources to adopt source reduction measures. As a result, in order to determine MACT, EPA must obtain the data necessary to consider the viability and impacts of source reduction measures.

Part VI, Miscellaneous, includes a question on whether the controls or process changes on the source are the result of new source review (NSR) requirements. Sources subject to the lowest achievable emission rate (LAER) requirements of the NSR program must be excluded from the MACT floor calculation under Section 112(d)(3)(A) for existing sources if LAER is achieved 18 months before the emissions standard is proposed or within 30 months before such standard is promulgated, whichever is later. The last question asks the respondent to describe any other factors not addressed in the above questions that might serve to distinguish subcategories.

IV. Guidance Documents

Following is a list of EPA guidance documents that may be useful to respondents in estimating HAP emissions.

- 1. Compilation of Air Pollutant Emission Factors: Volume I: Stationary Point and Area Sources. U. S. Environmental Protection Agency. Research Triangle Park, N.C. September 1985. Publication No.: AP-42.
- Procedures for Establishing Emissions for Early Reduction Compliance Extensions-Draft. U. S. Environmental Protection Agency. Research Triangle Park, N.C. July 1991. Publication No.: EPA-450/3-91-012a.
- 3. For batch operations: Control of Volatile Organic Emissions from Manufacturer of Synthesized Pharmaceutical Products. U. S. Environmental Protection Agency. December 1978. Publication No.: EPA-450/2-78-029.
- 4. Organic Chemical Manufacturing Volumes 1-10. U. S. Environmental Protection Agency. December 1980. Publication No.: EPA-450/3-80-023 through 028e.
- 5. VOC Fugitive Emissions in Synthetic Organic Chemicals Manufacturing Industry--Background Information for Proposed Standards. November 1980. Publication No.: EPA-450/3-80-033a.

V. Key Term Definitions

The following definitions are provided for the purpose of the survey only. They are not intended to replace "official" definitions developed elsewhere.

Capture: The containment or recovery of emissions from a process for

direction into a duct, which may be exhausted through a stack or

sent to a control device before exiting through a stack.

Capture device: A hood, enclosed room, floor sweep or other means of collecting

pollutants into a duct.

Capture efficiency: The fraction (usually expressed as a percentage) of the pollutants

that are directed to the control device.

Control: The collection for recovery or destruction of pollutants, which

might otherwise be exhausted to the atmosphere.

Control device: Any equipment that reduces the quantity of a pollutant that is

emitted to the air. The device may destroy or secure--the pollutant

for subsequent recovery. Examples are incinerators, carbon

adsorbers, condensers, scrubbers, and baghouses.

Control efficiency: One minus the fraction (usually expressed as a percentage) of the

pollutants that are emitted from the control device compared to the

pollutants entering the control device.

Feedstock: Raw material/input to the process line.

Process (process line): The sum of unit operations (e.g., storage, fugitive dust, transfer

operations, process fugitives, stacks, and waste management) that

result in the production of individual or groups of products.

Process fugitives: Air emissions emanating from the process line that are not released

through stacks.

Stacks: Contained air stream (excluding storage tanks), which are points

through which emissions exit the facility.

Vent stream: Air emissions emanating from process line(s) that are released

through stacks.

Waste management: Handling, treatment, storage, and disposal of waste products.